U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/576591

## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

PCT/JP2004/012560

INTERNATIONAL FILING DATE 31 August 2004

PRIORITY DATE CLAIMED

4 November 2003

TITLE OF INVENTION

CAR	D	DE	V	I	CE

CARD DEVICE
APPLICANT(S) FOR DO/EO/US  Wataru KAKINOKI, Yasutaka MAEKAWA, Noboru MORIOKA
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1. [X] This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.
2. [ ] This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.
3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. [X] The US has been elected (Article 31).
5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
a. [] is attached hereto (required only if not communicated by the International Bureau).
b. [X] has been communicated by the International Bureau.
c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
a. [X] is attached hereto.
b.' [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
a. [ ] are attached hereto (required only if not communicated by the International Bureau).
b. [ ] have been communicated by the International Bureau.
c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
d. [ ] have not been made and will not be made.
8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. [X] An <u>unexecuted</u> oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under
PCT Article 36 (35 U.S.C. 371(c)(5)).
Items 11 to 20 below concern other document(s) or information included:
11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. [X] A preliminary amendment.
14. [ ] An Application Data Sheet under 37 CFR 1.76
15. [ ] A substitute specification.
<ul><li>16. [ ] A power of attorney and/or change of address letter.</li><li>17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-</li></ul>
1.825.
18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. [ ] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20. [X] Other items or information (specify): 3 sheets of drawings; EFS form; postcard; publication, International Search Report, PTO-1449
form, Written Opinion, six cited references
EXPRESS MAIL CERTIFICATE  Liberary certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee

(mail label #EV324436558US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450, on April 20, 2006:

**Dorothy Jenkins** 

Name of Person Mailing Correspondence

April 20, 2006 Date of Signature

U.S. APPLICATION NO. (III to a section of the secti						HOND. IU 2	P/1071-1616		
								CALCULATIONS	PTO USE ONLY
The following fees have been submitted							\$300.00		
21. [X] Basic national fee. \$300							\$200.00		
22. [X] Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy								\$200.00	
provisions of PCT Article 33(1)-(4)									
23. [X] Search fee		• • • • • • • • • • • • • • • • • • • •	***************************************		<u></u>	\$ <u>2</u> 00	)	\$400.00	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority									
Т	OTAL O	F 21, 22 a	nd 23 =		-			\$900.00	-
[ ] Additional fed sequence listing for each addition	g or comput	er progran	listing filed in	an electron				\$	
Total Sheets	Extra s	heets	Number of e	ach additio		nber)	RATE		
- 100 =		/50 =					x \$250	\$	
	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$	
CLAIMS		NUM	BER FILED	NUMBER	REXTRA		RATE	\$	
Total Claim	Total Claims		5 - 20 = 0		)	,	x \$ 50	\$	
Independent Claims		1	-3= 0			x <b>\$200</b>		\$	
MULTIPLE DEPENI	DENT CLAI	M(S) (if app					- \$360	\$	
			TOTA	AL OF AB	OVE CA	LCUI	LATIONS =	\$900.00	
[ ] Applicant claims	small entity :	status. See	37 CFR 1.27. Th	he fees indica	ted above are	reduc	ed by ⅓.	\$	
						SU	BTOTAL =	\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$			
				Т	OTAL NA	TIO	NAL FEE =	\$900.00	
Fee for recording accompanied by t								\$	
accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  TOTAL FEES ENCLOSED =								\$900.00	
								Amount to be refunded:	\$
								Amount to be charged:	\$
c. [X] The Comm overpayme NOTE: Where an app	rge my Dep e copy of the hissioner is ent to Depos ropriate time	osit Accounts sheet is hereby aut sit Accounts limit under	ant No. 15-0700 enclosed. horized to char t No. 15-0700.	0 in the amo ge any addit A duplicate	unt of \$tional fees vecopy of this	_ to c hich i s shee	over the above f may be required t is enclosed.	ees.	nd granted to
SEND ALL CORRESPONDENCE TO: James A. Finder Ostrolenk, Faber, Gerb & Soffen, LLP 1180 Avenue of the Americas New York, New York 10036-8402  James A. Finder Registration Number: 30,173 Telephone: (212) 382-0700					April 20, 2006				

## 10/576591 IAP20 Rec'd PCT/FTO 20 APR 2006

## **CERTIFICATION**

I am the individual who sealed the envelope addressed to the Commissioner for Patents for the new application indicated below.

I certify that immediately before sealing the envelope, I checked its contents and made sure that each and every item listed on the transmittal letter was in the envelope. I then sealed the envelope.

I declare that all statements made herein of my own knowledge are true and were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United State Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Dated:

April 20, 2006

Allmara

OFGS File Number: P/1071-1616

First Applicant: Wataru KAKINOKI et al.

Title: CARD DEVICE